



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani and Prakken  
Serial No.: 09/828,574  
Filed: April 6, 2001  
Title: STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

Art Unit: 1633  
Examiner: Unassigned

**Box Sequence**

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g);**  
**and 37 C.F.R. § 1.825 (b)**

Sir:


I hereby state, as required by 37 C.F.R. § 1.821(f), that the information recorded in computer readable form is identical to the written sequence listing.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821 (g), herein does not include new matter.

I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. § 1.825 (b), is the same as the amended Sequence Listing.

Respectfully submitted,

Date: August 21, 2001

  
\_\_\_\_\_  
Lisa A. Haile, Ph.D.  
Reg. No. 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189  
Customer Number: 28213

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, August 21, 2001, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231

Karen LePari

Name of Person Mailing Paper

Signature

Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani and Prakken                      Art Unit: 1633  
Serial No.: 09/828,574                                  Examiner: Unassigned  
Filed: April 6, 2001  
Title: STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

**Box Sequence**

Commissioner for Patents  
Washington, D.C. 20231

**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 08/21/2001  
Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189  
Customer Number: 28213

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, August 21, 2001, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231

Karen LePari

Name of Person Mailing Paper

Signature

Date